The Deputisation of the High Representative/Vice-President of the Commission: Making the Impossible Job Work

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Abstract

By combining the post of the High Representative for Common Foreign and Security Policy with that of the External Relations Commissioner, the Treaty of Lisbon created the position of the High Representative of the Union for Foreign Affairs and Security Policy and Vice-President of the Commission (HR/VP), who is additionally tasked to chair the Foreign Affairs Council. This demanding job profile led scholars and observers to argue for the establishment of deputies, without which this position would be unmanageable.

Given that the Treaty of Lisbon does not include an explicit provision that would allow for the HR/VP’s deputisation, this paper in a first step aims to assess what the legal possibilities and constraints with regard to the setting up of a deputisation system are. Taking into account that the HR/VP interacts with various actors of the institutional environment of the European Union (EU), the paper attempts to find out, in a second step, to what extent these actors allow for the HR/VP’s deputisation. Finally, it examines how the HR/VP’s replacement is assured inside and outside the EU in practice and to what extent those arrangements can be considered as efficient.

An application of different interpretation methods to the Treaty on European Union (TEU) will first demonstrate that the teleological approach offers the most convincing arguments in order to argue for the lawfulness of deputies in EU primary law. As to the second research aim, an analysis of Rules of Procedures and political declarations will find that the environment – if at all – rather allows for other forms of the HR/VP’s replacement than deputisation by a person, who is placed under her authority. Ultimately, it will be shown that the lack of legal provisions is compensated by the expedient prioritisation of meetings by the HR/VP as well as by the establishment of practices which consist in forms of replacement but – to an increasing extent – also in deputisation, and which are subject to constant enhancements. The paper will conclude by advocating the expansion of such practices to other cases where the HR/VP’s replacement has to be assured, and most notably to the Commission, where the disadvantages of no deputisation prove to be intolerably high.
1. Introduction: The ‘impossible job’ of the HR/VP as a downside of bridging institutional divides

The Treaty of Amsterdam established the post of the Secretary General of the Council of the European Union/High Representative (SG/HR) for the Common Foreign and Security Policy (CFSP), intending “to increase the effectiveness and visibility” of this policy area. However, this innovation did not address the problem of cross-pillar incoherence, resulting from the Maastricht Treaty’s pillar-structure, which created an artificial division between CFSP (‘pillar II’) and other – mainly economic – “aspects of external policy” which remained within ‘pillar I’ of the European Community (EC). This institutional divide, including its diverging decision-making procedures, are still maintained under the actual Treaty of Lisbon, which therefore only formally abolishes the pillar structure.

On an institutional level, the Lisbon Treaty tries to meet the concerns of cross-pillar incoherence by combining the “post of the High Representative for Common Foreign and Security Policy” with that of the hitherto External Relations Commissioner; the Treaty of Lisbon institutes the position of the High Representative for CFSP, who is at the same time Vice-President of the Commission (HR/VP). As the current office holder, Baroness Catherine Ashton, is additionally tasked to chair the Foreign Affairs Council (FAC) – a duty that was assured by the rotating Presidency before – the new position of the HR/VP “fulfils a bridging function between both, these EU institutions and the different dimensions of EU external relations”.

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2 Ibid., p. 168.
3 Ibid.
4 Ibid.
8 Ibid.
9 Art. 18 (3) TEU.
11 Vanhoonacker & Reslow, op.cit., p. 2.
Given that these three posts (frequently also referred to as “hats”\(^{12}\)) were assumed by three different individuals under the former Treaty of Nice,\(^{13}\) it is obvious that the HR/VP faces a very tight agenda.\(^{14}\) Moreover, it lies in the nature of a Foreign Minister’s office that it demands a lot of travelling and presence abroad, which is also true for the HR/VP.\(^{15}\) These two aspects led many scholars to judge the HR/VP’s job description as impossible.\(^{16}\) Consequently, the establishment of a system of deputisation was broadly suggested in order to allow for a delegation of certain duties and to guarantee an efficient performance of all the tasks incumbent upon this position.\(^{17}\)

The Treaty of Lisbon, however, “does not provide for deputies having the same […] responsibilities”,\(^{18}\) and thus seems to neglect the risk of underachievement, which might undermine the aspired effects of the institutional reforms. This risk has until now been realised in so far as Lady Ashton, during her first year in office was criticised for having been absent from important meetings\(^{19}\) as well as for her poor attendance record of weekly Commission College meetings.\(^{20}\)

In the face of the “urgent need”\(^ {21}\) for a structure of deputisation, a thorough analysis of how the HR/VP’s replacement can be and is assured is considered more than appropriate. Therefore, the paper’s research objective is a threefold one: first, given the evident prerequisite that any system of deputisation has to conform to EU primary law, the first step of the analysis is to assess what the legal possibilities and

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\(^{13}\) Piris, op.cit., p. 243.


\(^{15}\) Piris, op.cit., p. 248.


\(^{18}\) Piris, op.cit., p. 249.


\(^{21}\) Kaczynski et al., op.cit., p. 144.
constraints with regard to the setting up of a deputisation system are. To this end, established interpretation methods will be applied to find out how the provisions of the Treaty of Lisbon concerning the HR/VP have to be interpreted in order to argue in favour of the lawfulness of deputisation.

Second, by assuming her functions, the HR/VP presents herself before the European Parliament, the European Council, the Council as well as the Commission, which means that her replacement is subject to the procedural requirements of these institutions. Therefore, another research aim is to examine the respective provisions in Rules of Procedure as well as political agreements and declarations in order to assess to what extent they allow for deputisation.

Third, a case study will provide for the empirical data necessary to analyse how and by whom the replacement of the current officeholder Lady Ashton inside and outside the EU is arranged in practice and to what extent these arrangements can be considered as efficient.

As to the key concepts of this analysis, the HR/VPs position is in the literature either characterised as being ‘double-hatted’, when referring to the idea that it joins together the post of the former HR/SG (Council) with the post of the Commissioner for External Relations or ‘triple-hatted’, if the presiding over the FAC itself is also counted. This paper adopts the concept of ‘triple-hatting’ since such a reading facilitates to reveal the different ways of replacement that are applied to the particular posts. Moreover, for the sake of semantic clarity, the notion of ‘position’ will exclusively be used to refer to the entity of the HR/VP. In contrast, the term ‘hat’ will be used as an equivalent of ‘post’, and one ‘hat’ (or ‘post’) can itself comprise various ‘functions’ or ‘tasks’.

Two further associated concepts are those of ‘replacement’ and ‘deputisation’. ‘Replacement’ denotes all cases where a natural person, regardless of his or her institutional belonging, assumes ‘functions’, which the Lisbon Treaty assigns to the HR/VP in the absence of the office holder. In contrast to this, ‘deputisation’ is characterised by the formal delegation of authority, enabling the deputy to express him- or herself on behalf of the HR/VP and thus implies a relation of hierarchy. Its pragmatic aspect denotes both, a minimum of scope of action as well as largely the same procedural rights granted to the HR/VP by the respective environment. Being

22 Wouters, Coppens & De Meester, op.cit., p. 151.
thus one particular way of ‘replacement’, ‘deputisation’ is favoured in this paper over other forms of ‘replacement’ because of the existence of a chain of command, which allows the HR/VP to instruct the ‘deputy’ and hence to ensure that her position is perfectly upheld, which is not the case as to ‘replacement’.

Finally, the notion of ‘efficiency’ denounces the need for all forms of ‘replacement’ to be organised in a way which assures that the entirety of ‘tasks’ incumbent on the HR/VP can be assumed to their fullest extent.

After a brief job description of the HR/VP’s ‘position’ in the next section, starting from art. 18 TEU and pointing out the ‘tasks’ performed under each ‘hat’, each of the research questions will be dealt with in a separate section. The argument put forward in answer to the first research aim is that compared to a historical or a systematic interpretation of the TEU, a teleological approach – although not without frictions – is most appropriate to make a case for deputies’ legality in EU primary law. Second, other actors of the EU’s institutional environment constrain the HR/VP’s ‘deputisation’ in so far as in their Rules of Procedure or in political agreements allowance is made rather for different forms of ‘replacement’ while ‘deputisation’ proves to be an exception. Third and finally, it is shown that in order to manage the challenging agenda, the absence of a formal legal basis for ‘deputisation’ is compensated in practice by the prioritisation of meetings and the establishment of informal practices consisting of different forms of ‘replacement’, including ‘deputisation’. Interestingly, these practices, which are subject to constant advancement, do not necessarily have to fully correspond to the provisions examined beforehand and increasingly grant ‘deputisation’ a right to exist among other forms ‘replacement’, which is advocated by this paper.

2. The ‘hats’ and ‘functions’ of the HR/VP

Compared to the role of the former HR/SG, the ‘tasks’ incumbent on the HR/VP under her ‘High Representative hat’ were significantly upgraded by the Lisbon Treaty.\(^{24}\) This becomes apparent when reading art. 18(2) TEU, which tasks her to “conduct the Union’s common foreign and security policy [...as well as] the common defence and security policy”.\(^{25}\) She does so by submitting proposals to the

\(^{24}\) Wouters, Coppens & De Meester, op.cit., n. 30, p. 151.

\(^{25}\) Art. 18(2) TEU.
Council or European Council\textsuperscript{26} and carrying out decisions taken by the Council.\textsuperscript{27} Furthermore, when putting into effect\textsuperscript{28} the CFSP, she is responsible for “[ensuring] the unity, consistency and effectiveness”\textsuperscript{29} of the Union’s action. It is important to note that the HR/VP has a “right of initiative both, as HR only (in strictly CFSP matters) and as double-hatted VP”\textsuperscript{30} for external relation matters managed by the Commission.\textsuperscript{31}

Moreover, as the HR/SG before,\textsuperscript{32} she conducts ministerial political dialogues with third parties.\textsuperscript{33} The innovation is that now she is able to interact with a third party alone not only for CFSP matters but also for Commission issues of external relations.\textsuperscript{34} The HR/VP also presides over the Union’s Special Representatives (EUSR).\textsuperscript{35} Additionally, she exercises authority over the European External Action Service (EEAS).\textsuperscript{36} As the EEAS is mandated to assist the HR/VP with regard to all of her tasks,\textsuperscript{37} this ‘function’ cannot exclusively be assigned to this ‘hat’. Another assignment brought about by the Lisbon Treaty is that the HR/VP “shall take part in the work [of the European Council]”,\textsuperscript{38} although she is not a member of it and is not entitled to cast a vote.\textsuperscript{39}

Having taken a number of responsibilities in the field of the Union’s external relations from the rotating Presidency of the Council, the Lisbon Treaty now calls on the HR/VP to “represent the Union for matters relating to the common foreign and security policy”\textsuperscript{40} and to “express the Union’s position in international organisations and at international conferences”,\textsuperscript{41} which includes the United Nations Security

\begin{itemize}
\item \textsuperscript{26} D. Chalmers, G. Davies & G. Monti, European Union Law, Cambridge, Cambridge University Press, 2010, 2\textsuperscript{nd} edn., p. 64.
\item \textsuperscript{27} Art. 18(2) TEU.
\item \textsuperscript{28} Art. 24(1) par. 2.
\item \textsuperscript{29} Art. 26(2) par. 2 TEU.
\item \textsuperscript{30} Kaczyński et al., op.cit., p. 143.
\item \textsuperscript{31} De Ruyt, “A Minister for a European Foreign Policy”, op.cit., p. 15.
\item \textsuperscript{32} Piris, op.cit., p. 244.
\item \textsuperscript{33} Art. 27(2) TEU.
\item \textsuperscript{34} De Ruyt, “A Minister for a European Foreign Policy”, op.cit., p. 15.
\item \textsuperscript{35} Chalmers, Davies & Monti, op.cit., p. 64 ; and art. 33 TEU.
\item \textsuperscript{36} Piris, op.cit., p. 247.
\item \textsuperscript{38} Art. 15(2) TEU.
\item \textsuperscript{39} Wouters, Coppens & De Meester, op.cit., p. 152.
\item \textsuperscript{40} Art. 27(2) TEU.
\item \textsuperscript{41} Ibid.
\end{itemize}
Council. It is important to note here that she exercises her representational duties only on ministerial level since the Treaty states that it is up to the President of the European Council to represent the Union in CFSP matters on the level of Heads of State or Government.

In addition, the HR/VP takes over the ‘tasks’ to “negotiate international agreements relating exclusively or principally to CFSP matters on behalf of the Union” as well as to assume consultation and information duties on CFSP matters towards the European Parliament.

Finally, contrary to the accumulation of tasks on one single individual, it is worth noting that the Lisbon Treaty relieved the HR/VP of the duty of the Secretary-General of the Council of the EU.

As to her ‘Council-hat’, art. 18(3) TEU determines that she shall preside over the FAC. In respect of the ‘Commission hat’, the Treaty provides that the HR/VP “shall be one of the Vice-Presidents” of the Commission. Within this institution, she is responsible for “handling external relations” and “for coordinating other aspects of the Union’s external action”. Although the HR/VP is thus expected to fulfil a bridge-building function between those Commissioners dealing with “different aspects of the external policies”, the TEU does not equip the HR/VP with procedural prerogatives (e.g. a formal hierarchy among Commissioners) to facilitate this task. Hence, it is ultimately up to “the President of the Commission (and the College as a whole)” to effectuate consistency of all EU external policies.

Vice versa, the somewhat cryptic formula of art. 18(4) s. 4 TEU ensures that the HR/VP will “not [...] be forced to renounce the position [she] defends, if this position

\[\text{Art. 34(2) par. 3 TEU.}\]
\[\text{Art. 15(2) TEU, Art. 15(6) par. 2 TEU.}\]
\[\text{Piris, op.cit., p. 246.}\]
\[\text{Art. 36 par. 1 TEU.}\]
\[\text{Kaczynski et al., op.cit., p. 143.}\]
\[\text{Art. 18(3) TEU.}\]
\[\text{Art. 18(4) s. 1 TEU.}\]
\[\text{Art. 18(4) s. 3 TEU.}\]
\[\text{Ibid.}\]
\[\text{Ibid.}\]
comes from” one of her other two CFSP-related ‘hats’.\textsuperscript{54} This could theoretically happen if the HR/VP was “sidelined”\textsuperscript{55} by a decision taken collectively in the College through formal voting\textsuperscript{56} – based on simple majority.\textsuperscript{57}

In sum, three findings deserve being kept at the back of the mind throughout the analysis: First, apart from the considerable number of ‘tasks’, their great variety deserves closer attention. The fact that the different ‘functions’ may have a representative role (e.g. political dialogue), an initiating role (FAC), a coordinating role (Commission), a consensus-building role (FAC) as well as a managerial or operational (within the EEAS) nature could have implications on how the HR/VP’s ‘replacement’ is assured and by whom. Second, the ‘tasks’ of third party dialogue, the negotiation of international agreements and the right of initiative can be exercised under both, her ‘HR hat’ and her ‘Commission hat’, and the EEAS assists the HR/VP in all tasks irrespective of the ‘hat’. This specific feature could be relevant in so far as the form of ‘replacement’ could depend on the policy area in question. Third, nearly all ‘tasks’ imply meetings that require the HR/VP’s personal attendance within EU institutions, abroad in third countries and before international organisations. Since she is thus regularly moving in a foreign environment, it depends on those actors’ rules and procedures whether and to what extent ‘replacement’ or even ‘deputisation’ is allowed.

The next section analyses to what extent the Treaty of Lisbon itself allows for the HR/VP’s ‘deputisation’.

3. Deputisation and the EU legal order: possibilities and constraints

In order to answer the question of deputies’ legality, the TEU is interpreted according to the interpretation methods regularly applied by the European Court of Justice (ECJ). Those methods, which are equally drawn on by national courts,\textsuperscript{58} are notably the “grammatical, historical, systematic and teleological interpretation of a

\textsuperscript{54} De Ruyt, “A Minister for a European Foreign Policy”, op.cit., p. 19.

\textsuperscript{55} Ibid.

\textsuperscript{56} Ibid.


norm”. As a grammatical interpretation, which relies on the “words of the text” in question, is inapplicable due to a lack of an explicit legal basis, the conduct of a historical interpretation is next in line in the subsequent section.

3.1 The HR/VP’s deputies: a feature lost on the way to the Constitutional Treaty?

Historical interpretation usually aims to reveal “the subjective intention of the author of the text”, notably by focusing on the preparatory work, which denotes “written documents reflecting the attitude of the negotiators of the Treaties from their inception to their conclusion”. Although the doctrine until recently considered this method as “impermissible in Union law owing to the lack of publication of the travaux préparatoires”, this opinion can no longer be upheld due to the innovation that the preparatory materials of the Constitutional Treaty as well as the documents produced during the Convention on the Future of Europe were ‘published’. Despite certain objections on the part of the ECJ to this method, an examination of the respective preparatory materials will contribute to understand whether ‘deputies’ were considered at all, how they were institutionally conceptualised and for what reason they were not considered in the Lisbon Treaty.

When talking about preparatory material of the Lisbon Treaty, one has to be aware of the fact that the Treaty text concerning foreign policy was, to a considerable extent, taken over word by word from the Constitutional Treaty after its rejection in May and June 2005 and incorporated in the Reform Treaty, which became known as Lisbon Treaty.

Given this development, the quest for provisions with regard to ‘deputies’ for the then ‘Union Minister for Foreign Affairs’ (UMFA) – as the ‘HR/VP’ was originally

60 Ibid., p. 324.
61 Ibid., p. 330.
62 Ibid.
63 A. Bredimas, Methods of Interpretation and Community Law, Amsterdam, North Holland, 1979, p. 57.
64 Dann, “Thoughts on a Methodology”, op.cit., p. 1463.
65 Ibid.
called\(^{69}\) – has to focus on the work of the Convention on the Future of Europe, the subsequent Intergovernmental Conference (IGC) 2003/2004 and the IGC 2007. When considering the work of the Convention on the Future of Europe, it is important to emphasise that the Convention assumed only a preparatory task for the later IGC and that its members were in no way identical with those of the latter.\(^{70}\) Since it is generally at IGCs where member states produce legally binding documents,\(^{71}\) only preparatory materials of IGCs mirror the real intention of member states as legitimate authors of the treaties and can thus be subject to a historical interpretation. Nevertheless, it is worth considering Convention materials as this will show what forms of ‘deputisation’ were devised.

The Convention opened its proceedings on 28 February 2002 and presented the final Draft Treaty Establishing a Constitution for Europe (DTCE) on 18 July 2003.\(^{72}\) Within the preparatory phase, eleven working groups were set up in order to focus on legal and technical problems.\(^{73}\) It was notably Working Group VII, dealing with External Action, and Working Group VIII, concentrating on Defence, that discussed the shape of a future ‘Union Minister of Foreign Affairs’ and the ‘deputisation’ issue.

Working Group VII deliberated – among other topics – ways to upgrade the HR/SG’s ‘post’ as well as to reflect on adequate “human and financial resources […]” to match the scale of the [HR/SG’s] task”.\(^{74}\) Already in the second meeting the question of ‘deputies’ was directly addressed, when the External Relations (RELEX) Commissioner Chris Patten\(^{75}\) first raised concerns about the manageability of a ‘double-hatted’ HR/VP, created by combining the post of the HR with that of the RELEX Commissioner.\(^{76}\) This entailed a debate among members of the working group about how such a ‘position’ could be designed and notably supported.\(^{77}\) Supporters of the idea of ‘double-hatting’ argued that such a ‘position’ could be assisted by

\(^{69}\) Ibid., p. 64.

\(^{70}\) Nugent, op.cit., p. 69.

\(^{71}\) Ibid., p. 87.

\(^{72}\) Ibid., p. 70.


\(^{76}\) European Convention, Summary of the meeting of Working Group VII held on 8 October 2002, op.cit., p. 5.

\(^{77}\) Ibid., p. 7.
“two deputies, one of which would focus on the HR role, the other on the Commission role”.\textsuperscript{78}

In the end, the Working Group’s final report presented four options for the possible institutional relation between the HR and the RELEX Commissioner.\textsuperscript{79} Apart from the first two – rather extreme – options,\textsuperscript{80} option three suggested the creation of a ‘European External Representative’ “as a compromise solution”,\textsuperscript{81} who would combine the functions of the HR and the RELEX Commissioner.\textsuperscript{82} Moreover, he or she would “be appointed by the Council”\textsuperscript{83} with the consent of the Commission President and the European Parliament.\textsuperscript{84}

Only this third option provided for the setup of a ‘deputisation’ system, for which two alternative designs were suggested:

1. Some members advocated putting into place “a number of deputies’ assistants for CFSP […] who would be nominated by the Council on […] the HR’s proposal and work under […] her authority”.\textsuperscript{85} At the same time, this scenario did not allot ‘deputies’ to the ‘Commission hat’.\textsuperscript{86}

2. Others favoured the idea of the HR having exactly two deputies, one of them being responsible for CFSP matters and the other one for tasks relating to her ‘Commission hat’.\textsuperscript{87}

Finally, a fourth alternative was introduced.\textsuperscript{88} This proposal suggested to bring into being the office of an ‘EU Minister of Foreign Affairs’ who differed from the ‘European External Representative’ mentioned above in that he should be accountable to the European Council and preside the “external action Council”.\textsuperscript{89} This alternative came closest to the ‘position’ of the HR/VP existing today. However,
although this scenario brings an accumulation of ‘tasks’ to an even larger extent than option three, no provisions were made with regard to ‘deputies’.

Working Group VIII equally discussed how to enhance the “role of the [HR]”, but with regard to EU crisis management. In order to achieve more effectiveness in this area, some members argued in favour “of a Deputy HR responsible for Defence”, which, however, gave rise to concerns on the part of those who worried about the setup of additional “posts”. As to the scope of responsibility of a “Deputy for Defence”, Alain Richard, former French Minister for Defence emphasised the necessity for the EU to enhance its military capabilities. On the institutional side, he advocated notably a Deputy HR, who would be “responsible for the development of capabilities” and be placed within the “intergovernmental structure of European defence policy”. Although discussed in several working documents, the final report of Working Group VIII did not refer in one single clause to a Deputy for Defence.

After the submission of the final reports of both Working Groups, the ensuing plenary session was devoted to discuss their outcomes. Although President Dehaene underlined the Working Groups’ preference for option three, there was still general disagreement which is why it is not surprising that the question of eventual ‘deputies’

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92 Ibid.
93 Ibid.
96 Ibid., p. 2.
97 Ibid., p. 3.
was not subject to debate. In the end, the questions of the Minister’s ‘functions’ and his/her eventual ‘deputies’ were not addressed any more in the remaining plenary sessions, and the Convention reached an agreement on the creation of an UMFA in art. 27 of the DTCE, leaving out provisions for ‘deputisation’. Since art. 23(2) DTCE tasks this person to chair the FAC, it can be concluded that the plenum in fact took over option four of Working Group VII, as outlined above. Although ‘deputies’ did not occur any more as a topic on the agenda, two out of 45 amendments overall, brought in by Dirk Roche and Danuta Hübner, still agitated for respective provisions and basically took up the proposals made earlier in Working Group VII.

Having retraced the work of the Convention on ‘deputisation’ for the intended UMFA from the very beginning, it is now possible to draw a number of conclusions: First, the creation of ‘deputies’ was in fact deliberated in the Working Groups ‘External Action’ as well as ‘Defence’, which were taking into account the high workload that would weigh on a single person due to the expected combination of the ‘posts’ of the RELEX Commissioner and the HR. Second, two distinct main conceptions as to how future arrangements for ‘deputisation’ could look like were defended throughout the discussions. Apart from the institutionalisation of a particular ‘deputy’ for security and defence policy, the HR – respectively the UMFA – should either be supported by several ‘deputies’ exclusively responsible for CFSP issues or by one ‘deputy’ responsible for CFSP matters and another one for duties performed under the ‘Commission hat’.

Third, the suggestions for amendments submitted at the plenary level show that ‘deputies’ should have been placed in an additional paragraph to art. 27 DTCE,

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103 Ibid., p. 18.


which is relevant insofar as it indicates which article has to be subject to interpretation in order to allow for an argumentation in favour of ‘deputisation’.

Fourth, two reasons were provided why ‘deputies’ for the UMFA might not have been considered in the DTCE: on the one hand, as highlighted above, Working Group VII forwarded four alternatives for the HR’s future job description to the plenum, which then however simply advocated for the creation of an UMFA, for whose ‘position’ no ‘deputies’ were envisaged. On the other hand, it should not be neglected that the plenum spent most of its relatively scarce time on discussing details about the UMFA’s ‘tasks’ and accountability, on which quite divergent views were held. There may simply not have been enough time to sufficiently address the question of ‘deputies’, and it finally may have been disregarded because of its relatively minor importance.

Apart from the analysis of the documents produced during the Convention, the work of the subsequent IGCs was equally scrutinised in the context of the research for this paper. However, with regard to the IGC 2003/2004, no evidence was found that the issue of ‘deputies’ for the UMFA had come up during these negotiations.106 Concerning the IGC 2007, the only notable modification as to this contribution was the renaming of the UMFA as High Representative of the Union for Foreign Affairs and Security Policy,107 whereas ‘deputies’ for this ‘position’ had not been discussed at all.108

Beyond the IGCs, ‘deputies’ and the entourage of the ‘Minister’ were not discussed among member states until 2008,109 as it was agreed that this “decision […] should be made only after the entry into force of the Treaty [of Lisbon]”.110 However, after the Treaty’s signature, during the Slovenian Presidency of 2008, it was


109 Interview with Jean de Ruyt, Permanent Representative of Belgium to the European Union, via email, 30 April 2011.

110 Ibid.
considered that the “issue of deputies to the HR had been settled by the Convention and that it could not be relaunched” [sic].

At last, given that the screening of IGC documents did not reveal the merest hint which would permit to assume that it was the intention of the Constitutional Treaty’s authors to establish ‘deputies’ for the newly created UMFA, it has to be concluded that an argumentation in favour of ‘deputisation’ cannot be built upon a historical interpretation of the Constitutional Treaty. On the other hand, however, no evidence was found that the establishment of ‘deputies’ was considered as strictly illegitimate or forbidden. This conclusion is of considerable relevance for the further analysis, since it excludes that the lack of regulation of ‘deputies’ is an intended gap in EU legislation, which would preclude its filling by means of interpretation.

Consequently, the next section seeks to find out to what extent a systematic interpretation provides for an argument for the legality of ‘deputies’.

3.2 Article 18 TEU in relation to the Treaty’s legal framework: a systematic approach

Being the most frequently applied method by the ECJ, a systematic interpretation – also referred to as contextual interpretation – consists in the placing of the word, paragraph or article in question either in relation to the surrounding text of the word, other paragraphs of the article or to other articles of the same section. It may also be that the ECJ “refers to other parts of the Treaty or the Treaty as a whole”. Having demonstrated above that ‘deputisation’ would have been probably framed in today’s art. 18 TEU, this article shall now be subject to further interpretation. As none of the paragraphs in art. 18 TEU explicitly refers to ‘deputies’ or concedes to the HR/VP an authorisation or responsibility that would imply the capacity to set up a ‘deputisation’ arrangement, the examination of the surrounding articles within Title III TEU, dealing with institutions, is next in line. Here, the immediately preceding art. 17

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111 Ibid.
112 Brown & Kennedy, op.cit., p. 324.
113 Although in the literature, the terms ‘contextual’ (Brown & Kennedy, op.cit., p. 334) and ‘systematic’ (Brown & Kennedy, op.cit., p. 335; Dann, op.cit., p. 1462) are both used to refer to the same interpretation method, this paper will hereafter adopt the latter term in order ensure a consistent denomination.
114 Bredimas, op.cit., p. 43.
115 Brown & Kennedy, op.cit., p. 334.
116 Bredimas, op.cit., p. 43.
117 Ibid.
TEU appears particularly relevant since its (6) includes provisions with regard to the President of the Commission. Within the Treaty of Lisbon, this position – aside from the President of the European Council – is the most evident point of reference when trying to make any kind of “deductions”\(^\text{118}\) to the HR/VP’s profile because they bear several – albeit broad – resemblances to each other: First, in terms of their institutional importance in the Lisbon Treaty’s legal framework, due to the entirety of their ‘tasks’ throughout the Treaty as well as their various entanglements in relevant decision-making processes, the President of the Commission and the HR/VP are silhouetted against the other few individuals that are designated by the TEU – irrespective of the President of the European Council. Second, both assume tasks of a similar nature, internally (e.g. chairing the College,\(^\text{119}\) respectively the Council\(^\text{120}\)) as well as externally, given that, for example, together with the President of the European Council they form the “new troika” of the EU’s external representation.\(^\text{121}\)

Having justified the reasons for this attempted legal deduction, (6) of art. 17 TEU shall be discussed: While lit. a) can be disregarded in this particular case, lit. b) gives the President of the Commission the right to “decide on the internal organisation of the Commission, ensuring that it acts [...] efficiently”,\(^\text{122}\) meaning notably the allocation and reshuffling of portfolios.\(^\text{123}\) Thus, it can be stated that the President has an organisational or ‘institutional capacity’ at least in so far as it allows him to shape the internal organisation in a way that the ‘tasks’ of the institution, for which he is responsible, are assumed in an efficient way. Given that the HR/VP’s ‘tasks’ are at least not less comprehensive and important as those of the President of the Commission, it should be valid to argue that a similar degree of institutional capacity should be conceded to the HR/VP with regard to her own internal organisation. How, for example, can it otherwise be imagined that her coordination ‘function’ within the College\(^\text{124}\) would be fulfilled efficiently if she cannot attend a meeting and is at the same time unable to send a ‘deputy’? Thus, granting the HR/VP sufficient institutional capacity with regard to her own internal organisation, including notably the appointment of ‘deputies’, could prevent such situations and ensure the efficient assumption of her various ‘tasks’.

\[^{118}\text{Ibid.}\]
\[^{119}\text{Art. 15(6) lit. a) TEU.}\]
\[^{120}\text{Art. 18(3) TEU.}\]
\[^{121}\text{De Ruyt, “A Minister for a European Foreign Policy”, op.cit., p. 16.}\]
\[^{122}\text{Art. 17(6) lit. b) TEU.}\]
\[^{123}\text{Art. 248 TFEU.}\]
\[^{124}\text{Art. 18(4) TEU.}\]
That the right to ‘deputisation’ could indeed be comprised by such an institutional capacity is demonstrated by art. 17(6) lit. c) TEU, by which the President of the Commission is entitled to “appoint Vice-Presidents […] from among the members of the Commission”. Reading this provision together with art. 25 of the Commission Rules of Procedure, according to which the President’s “functions shall be exercised by one of the Vice-Presidents […] in the order laid down by the President” in case of his absence, it can be concluded that the President is enabled to choose the Commissioner who shall deputise for him. Given that this Commissioner is at least to some extent placed under the authority of the President, in view of the latter’s prerogatives, one can indeed speak about ‘deputisation’ in this case, which is confirmed by the headline of art. 25 of the Rules of Procedure. 

It is worth noting here that the above argument of considering the HR/VP not as a physical person can equally be found in the literature. For instance, Chalmers et al. argue that “whilst the HR/VP is presented as person, it could equally be thought of as an organisation”. The entire argument, however, implies necessarily that the HR/VP is not purely read as a single physical person but as an institutional entity, or organisation itself, which is problematic in so far as art. 13(1) TEU determines the “Union’s institutions” expressly and exhaustively, thereby not mentioning the HR/VP.

A further and simpler argument in support of the above takes into account the whole set of articles within the framework of Title III TEU. Therein, each article in principle comprises the entire core rules and ‘tasks’ for one particular institution, and art. 18 TEU, in fact, does the same with regard to the HR/VP. Moreover, both the preceding as well as the article following art. 18 TEU establish foundations of European institutions. In the light of this legal environment and the fact that the HR/VP also has its own article, it would only be logical to place the HR/VP on equal footing with the European Parliament, the European Council, the Council, the Commission and the Court of Justice in terms of institutional status. However, this argument, aiming equally at acknowledging the HR/VP as an organisation, faces the same valid objection, based on art. 13 TEU, as the above.

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125 Art. 17(6) lit. c) TEU.
127 Ibid., art. 3.
128 Ibid., p. 67.
130 Art. 13(1) TEU.
131 Art. 17 TEU and Art. 19 TEU, respectively.
Having presented ways to argue in favour of the legality of the establishment of ‘deputies’ for the HR/VP – albeit not without frictions – the following subsection will verify whether a teleological interpretation will result in a less contested outcome.

3.3 No ‘deputies’, no consistency and effectiveness: does a teleological interpretation help?

Teleological interpretation is “based on the purpose or object of the text facing the judge”\textsuperscript{132} and often applied in combination with the contextual method. It draws upon the idea that “Treaties mainly provide a broad programme”\textsuperscript{133} that might lead to further integration “rather than a detailed blueprint”.\textsuperscript{134} Being understood as a way to respond to “changing economic, political and social”\textsuperscript{135} circumstances, this method better takes into account the “[dynamic character] of European integration”\textsuperscript{136} and at the same time contributes to the dynamic nature of EU law itself.\textsuperscript{137}

Objectives found in “opening articles” of the Treaty as well as “titles, chapters and headings” are central ‘elements’ in the application of the teleological method.\textsuperscript{138} In the case at hand, art. 13(1) TEU sets out the objectives of Title III on the institutions. Among the objectives, common to all institutions is most notably the aim to “ensure the consistency [and] effectiveness [...] of [the Union’s] policies”,\textsuperscript{139} which – in case of the HR/VP – are the policies relating to the Union’s external action. It can be argued that without ‘deputies’ the HR/VP is not, or only to an insufficient extent, able to assure the consistency and effectiveness of the EU’s external action. Using the example above, how can one imagine that the HR/VP without deputies is able to assume effectively her coordination function in the Commission according to art. 18(4) TEU, by which she ought to ensure the consistency of the Union’s external action? Given that the Treaty is silent about ‘deputies’, the legal order as laid down by the Treaty has to be considered as “unsuitable to the [objective] sought to be achieved”.\textsuperscript{140} Therefore, in view of this insufficiency, art. 18 TEU should not be interpreted as forbidding the creation of ‘deputies’ because their establishment

\begin{footnotesize}
\textsuperscript{132} Brown & Kennedy, op.cit., p. 339.
\textsuperscript{133} Ibid.
\textsuperscript{134} Ibid.
\textsuperscript{135} Bredimas, op.cit., p. 70.
\textsuperscript{136} Ibid., p. 80.
\textsuperscript{137} Dann, “Thoughts on a Methodology”, op.cit., p. 1460.
\textsuperscript{138} Bredimas, op.cit., p. 71.
\textsuperscript{139} Ibid.
\textsuperscript{140} Bredimas, op.cit., p. 71.
\end{footnotesize}
would effectively contribute to make the system work in a more consistent and efficient way.

However, the application of the teleological method is not entirely unproblematic. First, it should not be disregarded that the teleological method was particularly “appropriate in [former] Community law”, especially with regard to economic law, in the context of which it was developed. It is at least questionable whether the teleological method can be applied in the same dynamic way to purely institutional provisions of today’s TEU, as it was used for interpreting mainly single market law so far. Second, resorting to a teleological interpretation in order to argue in favour of ‘deputies’ legality cannot effectively refute the above objection that again, in this case the HR/VP can hardly be read as a physical person but must rather be seen as an organisation.

Nevertheless, it should be uncontested that the outcome of this method represents a pragmatic response to the challenge, which is posed by the job profile of art. 18 TEU.

Given the absence of ‘deputies’ in EU primary law, it is assumed that mainly other forms of ‘replacement’ are in place. These forms might be laid down in secondary EU law as well as political agreements, which will be examined in the next section.

4. The arrangements for ‘replacement’ of the HR/VP in her relations to EU institutions

4.1 European Parliament

The HR/VP’s relation to the European Parliament with regard to her ‘replacement’ is dominated by the question of her political accountability and framed by four documents. These are in particular the TEU itself, the Declaration by the HR/VP on Political Accountability, the Inter-institutional Agreement between

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142 Bredimas, op.cit., pp. 73-74.
143 Art. 17 (7) and (8) TEU; Art. 36 TEU.

The TEU links the ‘position’ of the HR/VP and the European Parliament notably in its art. 17, where (8) TEU gives the European Parliament the power to dismiss the HR/VP, however, only with regard to her ‘Commission hat’. On the contrary, the European Parliament’s powers in relation to the CFSP area are basically scaled down to “advisory, monitoring and holding-to-account roles”. In this regard, art. 36 TEU lays down the HR/VP’s accountability by stipulating that the HR/VP has to “regularly consult the [European Parliament] on the main aspects […] of [CFSP]” and to assure that the European Parliament’s positions are “taken into consideration”. The assurance of this accountability has been a major concern of the European Parliament since the importance of the new ‘position’ of the UMFA began to appear during the Convention on the Future of Europe. Therein, respective institutional provisions were suggested at the level of working groups as well as at plenary level.

More recently, during the process of shaping the form of the EEAS, the European Parliament was in doubt that the HR/VP – given her multiple ‘tasks’ – would manage to sufficiently “report back on her actions”. Therefore, the European Parliament requested a ‘replacement’ system stating that for issues related to external relations handled by the Commission, the HR/VP should be replaced by the Commissioner in charge of the respective dossier. As to intergovernmental policy

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147 Piris, op.cit., p. 245.


149 Art. 36 par. 1 TEU.

150 Ibid.


154 Ibid.
areas, member states should nominate “people from the Council”\textsuperscript{155} who could be subject to reporting duties towards their domestic parliaments. By contrast, the European Parliament deemed EU “officials”\textsuperscript{156} inappropriate for this ‘task’ because of a lack of direct accountability towards a parliamentary assembly\textsuperscript{157}.

The Declaration by the HR/VP on Political Accountability effectively complies with these requests. Its (6) determines that if the HR/VP should be unable to attend a plenary session, it is left to her discretion to decide whom to send to the European Parliament\textsuperscript{158}. This discretion is only restricted by the issue in question in so far as ‘replacement’ will be assured “by a Commissioner for issues falling exclusively or prevailing into Commission competence”.\textsuperscript{159} In case the issue “[falls] exclusively or principally in the area of CFSP”,\textsuperscript{160} it is either “the rotating Presidency”\textsuperscript{161} or one of the other two Ministers forming together with the rotating Presidency the “trio [Presidency]”\textsuperscript{162}.

Apart from the provisions in the Declaration on Political Accountability, the HR/VP is equally subject to the rules of the Inter-institutional Agreement between the European Parliament and the European Commission due to her ‘Commission hat’. Art. 45 par. 2 establishes indeed the principle that members of the Commission “shall ensure [their presence] at plenary sittings for agenda items falling under their responsibility whenever the Parliament so requests”\textsuperscript{163} but is silent about the question of an eventual ‘replacement’ or ‘deputisation’. Only on the level of parliamentary committee meetings, art. 50 par. 3 mentions that when a Commissioner’s presence “is not explicitly required”,\textsuperscript{164} the Commission is asked to send “a competent official at an appropriate level”.\textsuperscript{165} Since the provision does not further specify the official’s status, and given that the former DG RELEX and parts of DG Development were integrated in the EEAS,\textsuperscript{166} it seems only logical that this can equally be an EEAS

\textsuperscript{155} Ibid.
\textsuperscript{156} Ibid.
\textsuperscript{157} Ibid.
\textsuperscript{158} Ashton, High Representative, “Declaration”, op.cit., (6).
\textsuperscript{159} Ibid.
\textsuperscript{160} Ibid.
\textsuperscript{161} Ibid.
\textsuperscript{163} Ibid., art. 45 par. 2.
\textsuperscript{164} Ibid., art. 50 par. 3.
\textsuperscript{165} Ibid.
\textsuperscript{166} Council of the European Union, Council Decision establishing the EEAS, op.cit., p. 40.
official, if the respective issue falls within the competence of the EEAS. This would then in fact result in ‘deputisation’.

Finally, art. 26 par. 2 of the Council Rules of Procedures even increases the HR/VP’s burden by making her responsible to “represent [the FAC] before the [European Parliament] or its committees”. 167 While for plenary meetings of the European Parliament, the HR/VP can ask the rotating Presidency to ensure her replacement, she can instruct senior officials of the EEAS or the General Secretariat to represent the FAC in committee meetings. 168 As the Council Rules of Procedure were adopted on 1 December 2009 – at a time when the final shape of an EEAS was not yet decided upon 169 – and since parts of the Council Secretariat were transferred to the EEAS, 170 it can well be that an EEAS official is to represent the FAC before a European Parliament committee, which would again add up to ‘deputisation’.

In sum, with regard to European Parliament plenary sessions the choice was made for ‘replacement’ instead of ‘deputisation’, whereas on the level of European Parliament committee meetings ‘deputisation’ can effectively occur.

4.2 European Council and Council of the EU

With regard to the European Council, neither the Lisbon Treaty nor the Rules of Procedure of the European Council comprehend rules stipulating whether or how to ‘replace’ the HR/VP in case of her absence. This lack of provisions can be explained by two reasons. First, she is not a full member but only invited to “take part in its work”, 171 which means that her absence is not an obstacle for convening the European Council. Second, the European Council meets at Heads of State or Government level, 172 which implies that the HR/VP herself, who acts and represents on ministerial level, is hierarchically subordinated to all other participants of the European Council. Thus, there is simply no personality left that could adequately ‘replace’ her.

The HR/VP’s ‘replacement’ as chair of the FAC is a clear-cut case: the Council Rules of Procedure determine expressly in art. 2(5) par. 2 that in the event of her


\[168\] Ibid.

\[169\] The Council decision establishing the EEAS was only adopted more than six months later, on 26 July 2010, Council of the European Union, Council Decision establishing the EEAS, op.cit., p. 30.


\[171\] Art. 15(2) s. 2. TEU.

\[172\] Art. 15(2) s. 1. TEU.
impediment, the HR/VP is to be ‘replaced’ by the rotating Presidency.\textsuperscript{173} Thus, the Council does not provide for an opportunity to ‘deputise’ for the HR/VP.

4.3 European Commission

As to the European Commission, ‘replacement’ provisions are laid down in its Rules of Procedure and further detailed in the Decision of the President of the European Commission on the organisation of responsibilities of the Members of the Commission. In art. 25 of the Rules of Procedure, the President of the Commission is granted the right that in the event of his absence his “function […] shall be exercised by one of the Vice-Presidents […] in the order laid down by the President”.\textsuperscript{174} However, there is no comparable provision with regard to absences of the HR/VP. Very interestingly, the Decision of the Commission President in its art. 3 par. 1 s. 2 takes explicitly into account “the specific functions of the [HR/VP], notably in the Council”,\textsuperscript{175} but only in the context of relieving her of the burden of ‘replacing’ “the President in his absence”.\textsuperscript{176} On the contrary, it does not provide for a rule allowing for her to be ‘replaced’ either.

In addition, art. 5(3) of the Rules of Procedure imposes the principle of mandatory attendance at weekly College meetings on Commissioners, from which, however, they can be exempted from by the President.\textsuperscript{177} Indeed, art. 10(2) permits that in this case an absent Commissioner can send his or her Head of Cabinet to the meeting,\textsuperscript{178} which would thus suggest the possibility of ‘deputisation’. However, the paragraph at the same time delimits the rights of the supposed ‘deputy’ by only granting him the right to state the view of the absent Commissioner, if the President asks him to do so.\textsuperscript{179} Thus, since the Head of Cabinet does not enjoy the same rights (e.g. the right to cast a vote\textsuperscript{180}) as the Commissioner, it is not a case of ‘deputisation’. Given this rigid procedural framework, one may rightly wonder how, in the case of Ashton’s absence, her Head of Cabinet can ever be able to

\begin{footnotesize}
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\item[175] European Commission, Decision of the President of the European Commission of 10 February 2010 on the organisation of responsibilities of the Members of the Commission, COM (2010) 1000 final, Brussels, 17 February 2010, p. 4, art. 3 par. 1 s. 2.
\item[176] Ibid.
\item[177] Ibid., p. 63, art. 10(2).
\item[178] Ibid., p. 62, art. 5(3).
\item[179] Ibid.
\item[180] Ibid., p. 62, art. 8(2) and (3).
\end{itemize}
\end{footnotesize}
effectively defend her position, let alone to coordinate positions of Commissioners who are responsible for policy areas related to external relations.

This section has shown that the extent to which the HR/VP is allowed to ‘deputise’ or ‘replace’ in case of her absence differs from one institution to another and is thus dependent on the environment. Moreover, it has to be admitted that ‘replacement’ is not in all cases possible and ‘deputisation’ quasi inexistent. The next section will verify whether and to what extent the HR/VP’s ‘replacement’ in practice is in line with the formal provisions that have just been expounded.

5. The HR/VP’s ‘replacement’ in practice: The consequences of no ‘deputisation’ in- and outside the European Union

5.1 European Parliament

According to EEAS officials, the HR/VP’s ‘replacement’ within the European Parliament in practice works in principle according to the Declaration on Political Accountability. One small difference in practice, compared to the document, however, is that it was originally decided that if the rotating Presidency ‘replaces’ Ashton before the plenary of the European Parliament, the respective Minister is accompanied by the Executive Secretary-General of the EEAS, Pierre Vimont. The advantage seen in this established practice by both, the European Parliament as well as the HR/VP, is that on the one hand, Vimont is directly responsible to Ashton and thus institutionally closer to her than the rotating Presidency. On the other hand, he regularly attends the FAC meetings with Ashton, which makes him particularly able to report about developments in the FAC. Given that Vimont is a direct subordinate to Ashton, this case can effectively be considered as ‘deputisation’.

At a certain point in time, however, this arrangement reached its limits and needed an upgrade. When it turned out that the HR/VP did not manage to present herself before the European Parliament twice a month due to her agenda, the Polish Presidency, more precisely its Minister of Foreign Affairs, was confronted with

181 Interview with official (#2), EEAS, Brussels, 1 April 2011; Interview with official (#1), EEAS, Warsaw, 3 March 2011.
182 Interview with official (#2), EEAS, op.cit.
183 Ibid.
184 Ibid.
handling those meetings additionally.\textsuperscript{185} Although the Polish pretended not to consider assuming this ‘task’ on a regular basis, they were unable to hand over this considerable workload since the European Parliament does not accept to be briefed only by Vimont as an official, given that it requests the presence of a politician.\textsuperscript{186} In order not to end up in the uncomfortable situation of the Polish Presidency, who had to stand in before plenary sessions at short notice and with a minimum of briefing, the current Danish Presidency has backed up the Polish pretention by establishing basic conditions that have to be met if they take over the HR/VP’s responsibilities before the European Parliament.\textsuperscript{187} Today, those conditions notably consist in stating a formal request within a certain early warning threshold, forwarding all necessary files and giving a debriefing beforehand by the EEAS and, finally, ensuring a personal assistance to the Presidency by a competent EEAS official in the meeting.\textsuperscript{188} Due to this development, it can be concluded that the establishment of an efficient ‘deputisation’ system has required the setting up of new working procedures between the EEAS and the rotating Presidency in form of a sophisticated, yet informal coordination process.

5.2 European Council and Council of the EU

With regard to European Council meetings, the impossibility of ‘replacing’ Ashton is more of a theoretical nature and not practically relevant.\textsuperscript{189} Normally, summits only take place more or less quarterly,\textsuperscript{190} and meetings where issues of external relations are debated are given the highest priority by the HR/VP.\textsuperscript{191} Furthermore, she will vice versa be highly criticised if she dares to miss them.\textsuperscript{192} Thus, the practical exigency for the creation of a ‘deputy’ is limited because of the relative unlikelihood of Ashton’s absence.

As to the FAC, officials confirmed that the HR/VP’s ‘replacement’ works in line with the explicit arrangement of the Council’s Rules of Procedure, which calls the

\textsuperscript{185} Interview with official (#4), EEAS, Brussels, 6 January 2012 ;
\textsuperscript{186} Ibid. In addition, the possibility for this ‘function’ to be regularly assumed by the Commissioners for Enlargement and Neighbourhood or Development Policy is quite limited as well, given their temporal availability or suitability in terms of the issues at hand.
\textsuperscript{187} Ibid.
\textsuperscript{188} Ibid.
\textsuperscript{189} Interview with official (#2), EEAS, op.cit.
\textsuperscript{190} Ibid.; see also art. 1 (1) par. 1, European Council Rules of Procedure, op.cit., p. 52.
\textsuperscript{191} Interview with official (#2), EEAS, op.cit.
\textsuperscript{192} Ibid.
Minister of the rotating Presidency to chair the FAC instead of Ashton. 193 The ‘deputisation’ by an official is impossible, since their seniority does not correspond to the ministerial level. 194

Given that the chair is said to have particular influence on the decision-making process, it was admitted that it could indeed be problematic to yield such an important ‘post’ to the Presidency, 195 if the member state holding the Presidency uses this opportunity to pursue its national foreign policy interests by pushing its preferred agenda. 196 Moreover, a too frequent rotation of the Presidency can risk a shortcoming in the FAC’s policy continuity. 197 However, it was instantly emphasised that, generally, there exists a considerable degree of respect towards the HR/VP’s position on the part of the rotating Presidency. 198 Furthermore, in the event of Ashton’s absence, the FAC meeting is either postponed 199 or the EEAS forwards her priorities and agenda items to the rotating Presidency in order to make sure that policy continuity is secured as far as possible. 200 Finally, since FAC meetings usually take place only once a month 201 and are considered a priority, it is mostly manageable for Ashton to ensure her attendance.

In light of these pro’s and con’s, although this ‘replacement’ provision apparently does not present a threat to the efficient assumption of this particular ‘task’ in general, the risk of negative consequences remains, which is why a request for true ‘deputisation’ appears justified. This would also correspond to the established practice among national delegations, which occasionally send “representatives [...] that can differ in terms of their status” to meetings at ministerial level. 202

5.3 European Commission

For two reasons the HR/VP’s ‘replacement’ in the weekly meetings of the College of Commissioners is a much more considerable problem than in the other

193 Interview with official (#1), EEAS, op.cit.
194 Interview with official (#2), EEAS, op.cit.
195 Ibid.
196 Ibid.
197 Ibid.
198 Ibid.
199 Interview with official (#4), EEAS, op.cit.
200 Interview with official (#2), EEAS, op.cit.
202 Nugent, op.cit., p. 143.
institutions. First, Commission and EEAS officials confirmed that it is most often the Head of Cabinet or – if not available – another member from her cabinet who attends the meetings in case of the HR/VP’s absence.203 In line with the Rules of Procedure, however, these officials only state the position of the HR/VP if they are requested to do so.204 Moreover, they do not sit around the College table but at the back and do not take part in debates.205 Given their quasi inexistent leverage in meetings, these representatives are hardly able to assume the External Relations Commissioner’s functions that the Lisbon Treaty assigned to the HR/VP or to effectively defend her position.206

Second, the HR/VP absence occurs frighteningly often since the Commission’s organisational nature and its working methods are difficult to reconcile with the ‘tasks’ under her other two ‘hats’.207 Meetings of the Commission’s College usually take place every Wednesday morning, while meetings of the FAC tend to be scheduled for Mondays and Tuesdays and European Councils for Thursdays and Fridays.208 Commission officials interviewed indicated that during weeks where neither a FAC nor a European Council meeting takes place, the HR/VP theoretically would have time from Wednesday afternoon until the following Tuesday evening to perform her numerous, travel-intense diplomatic ‘tasks’ without having to absent herself from the College meetings.209 Yet, this view was rejected by the EEAS officials interviewed, by pointing to the practical incompatibility between the demands of the different ‘tasks’.210 Moreover, it was highlighted that since priority is already given to the attendance of Council, European Council and European Parliament plenary meetings, it is impossible to equally prioritise the College, if she is expected to perform in international representational duties.211 Without entering further into these inter-institutional arguments, Ashton’s absence rate for Commission meetings, which

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204 Ibid.
205 Interview with official (#5), European Commission, op.cit.
206 Interview with official (#3), European Commission, op.cit.; Interview with official (#2), EEAS, op.cit.
207 Ibid.; Interview with official (#2), EEAS, op.cit.
208 Interview with official (#3), European Commission, op.cit.
209 Ibid.
210 Interview with official (#1), EEAS, op.cit.; Interview with official (#2), EEAS, op.cit.
211 Ibid.
in 2010 amounted to 40 percent, makes a clear case for the practical difficulty for one person to successfully manage the combination of the three ‘hats’ and it demonstrates that the need for ‘deputisation’ is nowhere as urgent as within the Commission.

According to an official interviewed, the Commission for the time being does not envisage deviating from the principle of mandatory attendance or amending its Rules of Procedure. Yet, given the problematic situation at least a practical arrangement should be found which allows for the HR/VP’s ‘deputisation’ or at least ‘replacement’ in a way that an efficient performance of her duties is guaranteed.

5.4 External representation

Ideas for a ‘replacement’ system within the Commission could be taken over from the arrangements in place for the HR/VP’s ‘replacement’ in ministerial political dialogues with third parties. In principle, the HR/VP is required to attend around 80 of such meetings a year. These, however, are far too many given her various other appointments within the EU’s institutional environment, which is why the conceptualisation of this task creates an impossibility in practice.

In the beginning of her term, the HR/VP’s ‘replacement’ in dialogue meetings was run on a case-by-case basis, which had the disadvantages of being non-transparent and of leading to potential inter-institutional turf wars for the right to representation. Consequently, the challenge was to delegate representational duties to other EU interlocutors in such a careful manner that diplomatic relations with dialogue partners, who naturally tended to strive for the highest ranked interlocutor they could possibly get, were not compromised. Therefore, the EEAS in the first half of 2011 presented a blueprint aiming to enhance the EU’s predictability in its third country representation by proposing four different options for the HR/VP’s

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213 Interview with official (#5), European Commission, op.cit.
214 Interview with official (#4), EEAS, op.cit.
215 Ibid.
216 Interview with official (#2), EEAS, op.cit.
217 Ibid.
Following the discussion at Coreper level, an agreement was reached on two options, which are currently applied in practice.\textsuperscript{219} The first option consists in the chairing of meetings by the rotating Presidency together with a Commissioner, which applies to most Stabilisation and Association as well as Cooperation Council meetings.\textsuperscript{220} In case the Presidency is unable to replace the HR/VP, option two foresees that a ministerial representative from another member state is asked to take over this task.\textsuperscript{221} This systematic downgrading of certain ministerial political dialogue meetings does not mean that the HR/VP would attach a minor importance to these meetings.\textsuperscript{222} Such a downgrading responds rather to the need to adapt the logic of the EU’s external representation to the Lisbon Treaty era: While in the pre-Lisbon system, the rotating Presidency had an interest to chair as many meetings as possible during its six-monthly term in office, the HR/VP during her five-year term in principle does have sufficient time to meet with all of the other countries’ interlocutors.\textsuperscript{223} In light of her numerous other commitments, however, the HR/VP only prioritises a Stabilisation and Association or Cooperation Council meeting, in order to discuss important and current issues that demand her personal involvement, while it would not be rational to attend meetings for the only sake of maintaining the pre-Lisbon practice of regular, six-monthly meetings without any substantial reason.\textsuperscript{224}

In this context, it is also worth highlighting that this blueprint was not granted the status of a formal or even legal document in order for the options to be effectively applied and not to become subject to criticism from dialogue partners.\textsuperscript{225} In order to identify well in advance those meetings in which the chair should be assumed by the Presidency, the EEAS has established and progressively refined\textsuperscript{226} a

\textsuperscript{218} Ibid.
\textsuperscript{219} Interview with official (#4), EEAS, op.cit.
\textsuperscript{220} Ibid.
\textsuperscript{221} The two options not considered were actually cases of ‘deputisation’ in so far as option three stipulated that a Commissioner flanked by a senior EEAS official should chair dialogue meetings, and option four allotted this task exclusively to EEAS Secretary-General Vimont. Member States rejected these options by arguing that it was legally problematic if representation was not assured by a formal member of the FAC. Ibid.
\textsuperscript{222} Ibid.
\textsuperscript{223} Ibid.
\textsuperscript{224} Ibid.
\textsuperscript{225} Ibid.
\textsuperscript{226} The improvement is reflected in the time needed to elaborate the list of meetings to be delegated: While the Hungarian Presidency received the finalised list only three months after the beginning of its term, the Danish Foreign Ministry could be provided with this document already one and a half month before the country took over the Presidency from Poland. Ibid.
coordination procedure between the Policy Coordination, the individual EEAS services, Executive Secretary-General Vimont, the HR/VP herself and the Ministry of Foreign Affairs of the respective rotating Presidency.  

Thus, it can be concluded that even if, for the time being, ‘deputisation’ is not an option for the ‘replacement’ of the HR/VP in political dialogue meetings, other forms of ‘replacement’ are applied in practice, which are characterised by a high degree of informality and a progressive enhancement of the coordination procedure by the EEAS.

6. Conclusion

The first aim of this paper was to assess to what extent the Lisbon Treaty legally allows for ‘deputisation’. By applying established interpretation methods to the TEU, it has been shown that the historical interpretation is inadequate to overcome the legal constraints of the Lisbon Treaty. Yet, it is able to reveal that the institutionalisation of different forms of ‘deputies’ was considered during the Convention. Moreover, the systematic interpretation provides indeed some good arguments for imputing to the ‘position’ of the HR/VP an institutional capacity, which allows her to provide for its ‘deputisation’. However, frictions arising from the fact that the HR/VP is not an institution in itself impede a wholly satisfying solution to the problem. Finally, by arguing that only by the setup of a ‘deputisation’ structure the HR/VP can assure the fulfilment of the objectives set out in the Treaty, the teleological interpretation – albeit not without obstacles – offers the best solution. Thus, it can be concluded that the establishment of ‘deputies’ is legally possible without amending the Lisbon Treaty.

The second question asked whether – in absence of an explicit legal basis – other EU institutions permit the HR/VP to ‘deputise’ or to be ‘replaced’. A scrutiny of the Rules of Procedure and political agreements has shown that the European Parliament is the only actor in the Union’s institutional environment that allows for ‘deputisation’ and only to a very limited extent on the level of parliamentary committee meetings. While this institution and the Council rather favour forms of ‘replacement’, the European Council and the Commission de facto also preclude this possibility.

Finally, a third objective was to examine how the HR/VP’s ‘replacement’ is working in practice and whether it can be considered as efficient. It has been affirmed that in the EU’s internal environment, practice generally functions

227 Ibid.
According to the rules presented in section 4. Contrary to this rule, an informal practice of ‘deputisation’ is applied before the European Parliament, where the EEAS Executive Secretary-General or a competent EEAS official is supposed to perform the ‘deputy’ function even in plenary sessions. On the other hand, ‘deputisation’ had been discussed for the conduct of ministerial political dialogue meetings but was rejected by EU member states. In these meetings, it is up to a Commissioner and the rotating Presidency to ‘replace’ the HR/VP, which also means that the rotating Presidency continues playing a role in the EU’s external representation in the Lisbon era. As to the efficiency of ‘replacement’ arrangements, it has been revealed that the extent to which these practices are able to mitigate the difficulties, which arise from the lack of a possibility of ‘deputisation’, differs from one case to another. Provided that in the case of meetings of the FAC and the European Council the HR/VP manages to ensure her presence by giving priority to these meetings, the ‘replacement’ provision in the FAC would be sufficient and the impossibility to be ‘replaced’ in the European Council tolerable. Consequently, the need for ‘deputies’ would be limited with regard to these institutions. Moreover, the elaborated arrangements for the HR/VP’s ‘replacement’ before European Parliament and in ministerial political dialogue meetings promise to be good long-term solutions that can ensure the assumption of her respective ‘tasks’. However, provisions made for ‘deputisation’ or ‘replacement’ have proved to be particularly urgent in the case of the Commission, where it has been evidenced that the cabinet member sent to meetings of the College is unable to assume the HR/VP’s ‘tasks’ due to his limited procedural rights. Therefore, the establishment of a practical arrangement for ‘deputisation’ or at least ‘replacement’ in the Commission College is certainly the most recommended reform measure at present. As to the future of the ‘deputisation’ concept in the whole EU institutional environment, it should be further promoted and progressively extended to other areas since it can offer a pragmatic solution to the challenge of making the HR/VP’s job work in an efficient way. The case of ‘deputisation’ before the European Parliament demonstrates that such a solution is most likely to be successful if it is based on an informal agreement and a sophisticated coordination procedure.
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